

PTO/SB/97 (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

### Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile  
transmitted to the United States Patent and Trademark Office

on November 7, 2003.

Date

Patrick D. Benedict

Signature

Patrick D. Benedict, Reg No. 40.909

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this  
certificate must identify each submitted paper.

- 1) Request for Corrected Filing Receipt;
- 2) copy of official Filing Receipt with corrections; and
- 3) copy of signed Declaration

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  Douglas H. Rose, et al.  Serial No.: 10/632,747  Filed: August 1, 2003  Title: Etching of Solar Cell Materials	Examiner: not yet known  Art Unit: 1753  Atty. Docket No.: 10031.000400 (S0020)
---	--

Commissioner for Patents  
Office of Initial Patent Examination's  
Filing Receipt Corrections  
Facsimile number (703) 746-9195

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of the Official Filing Receipt received from the U.S.P.T.O. for the above application.

The Filing Receipt contains the following error(s):

1. The Applicants' names are erroneously listed as Douglas H. Rose and Pongsthom Uralwong. The correct Applicants' names are Douglas H. Rose and Pongsthorn Uralwong as evidenced by the executed Declaration, a copy of which is enclosed and marked as Exhibit A.

Please issue a corrected Filing Receipt rectifying the above error(s). The requested correction is indicated in the enclosed copy of the Filing Receipt.

//

//

PATENT

Favorable action is respectfully solicited.

Dated: November 7, 2003

Respectfully submitted,  
Douglas H. Rose, et al.

By: Patrick D. Benedicto  
Patrick D. Benedicto  
Attorney For Applicant(s)  
Reg. No. 40,909  
OKAMOTO & BENEDICTO LLP  
P.O. Box 641330  
San Jose, California 95164  
(408) 436-2110  
(408) 436-2114 (FAX)

Enclosure(s)

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<u>Patrick Benedicto</u>		
Typed or Printed Name:		Date:	
Express Mail Mailing Number (optional):			



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/632,747	08/01/2003	1753	1302	10031.000400	3	32	7

CONFIRMATION NO. 3850

000031894  
OKAMOTO & BENEDICTO, LLP  
P.O. BOX 641330  
SAN JOSE, CA 95164

## FILING RECEIPT



\*OC00000011153044\*

Date Mailed: 10/31/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Douglas H. Rose, Austin, TX;  
Pongthorn Uralwong, Campbell, CA;  
David D. Smith, San Jose, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 10/31/2003

Projected Publication Date: Request for Non-Publication Acknowledged

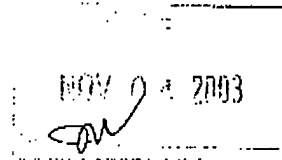
Non-Publication Request: Yes

Early Publication Request: No

## Title

Etching of solar cell materials

Preliminary Class



136

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).